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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number 10/666,288

Filing Date 09/19/2003

First Named Inventor VISRAM et al

Art Unit 3739

Examiner Name Peter J. Vrettakos

Attorney Docket Number 20160-10

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	TESSIER ET ASSOCIE		
Signature			
Printed name	Louis Tessier		
Date	April 13, 2006	Reg. No.	45,289

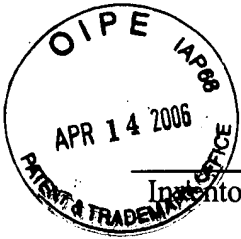
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): VISRAM et al

Serial No.: 10/666,288

Filed: 09/19/2003

Title: Surgical perforation device and method
with pressure...

Attorney Docket No.: 20160-10

Group Art Unit: 3739

Examiner: Peter J. Vrettakos

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

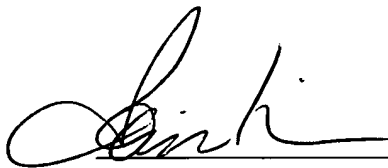
- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e):
The Applicant respectfully submits that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement, or
☒ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO/SB/08A and PTO/SB/08B -Information Disclosure Statement by Applicant together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Louis Tessier', is written over a horizontal line.

Louis Tessier
Agent for Applicant(s)
Reg. No 45,289

Date: April 13, 2006

Telephone No.: (514) 990-3434



PTO/SB/08A (07-05)
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/666,288
Filing Date	19-Sep-03
First Named Inventor	Visram et al
Art Unit	3739
Examiner Name	Peter J. Vrettakos
Attorney Docket Number	20160-10

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 5281216	01-25-1994	Michael S. Klicek	
	2	US- 5683366	11-04-1997	Phillip E. Eggers	
	3	US- 5904679	05-18-1999	Ralph V. Clayman	
	4	US- 6565562	05-20-2003	Krishan Shah	
	5	US- 6811544	11-02-2004	Alan Schaer	
	6	US- 6814733	11-09-2004	Yitzhack Schwartz	
	7	US- 6869431	03-22-2005	Mark Maguire	
	8	US- 6955675	10-18-2005	Mudit Jain	
	9	US- 5893848	04-13-1999	Charles C. Negus	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	1	WO/04039433	05-13-2004	Tucker		

Examiner
Signature

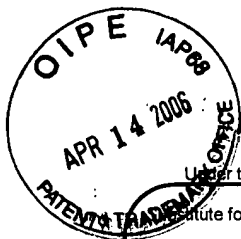
Date
Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/666,288
		Filing Date	19-Sep-03
		First Named Inventor	Visram et al
		Art Unit	3739
		Examiner Name	Peter J. Vrettakos
Sheet 1	of 1	Attorney Docket Number	12160-10

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	CA Pedra, LN de Sousa, SR Pedra, WP Ferreira, SL Braga, CA Esteves, MV Santant, JE Sousa, VF Fontes. "New Percutaneous Techniques for Perforating the Pulmonary Valve in Pulmonary Atresia with Intact Ventricular Septum". Arq. Bras Cariol. 77(5):479-486 Nov. 2001	
	2	DG Nykanen, J Phikala, GP Taylor, LN Benson. "Radiofrequency Assisted Perforation of the Atrial septum in a Swine Model: Feasibility, Biophysical and Histological Characteristics". Circulation. 100(Suppl 1):1-804 Nov. 1999.	
	3	Baylis Medical Company Inc. "Radio Frequency Perforation System". Sept. 2001.	
	4	"ABSTRACT of European Patent no. 0315730 to Osypka". May 1989	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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